

Personal Investment
Management Service

Application



You can count on us
ROYAL
LONDON
360

This *Application Form* should be read in conjunction with the current *Personal Investment Management Service Brochure* and *Key Features*.

A copy of the completed form and the *Policy Terms and Conditions* are available on request. If there is any doubt as to the relevance of any fact it should be included in your application, as it is important that all information is fully disclosed. If more space is required please continue on a separate piece of paper and ensure it is signed and dated by each applicant.

Please indicate which version of the Personal Investment Management Service you are applying for by ticking the appropriate box

Select Flexible

Before you return this form, please indicate which sections of the application have been completed and which additional documents are enclosed.

Please tick

- Section 1 to be completed for Single/Joint applications
- Section 2 to be completed for Trustee applications
- Section 3 to be completed for Corporate trustee applications
- Section 4 to be completed for Corporate applications
- Section 5 to be completed in all cases
- Section 6 to be completed if applicable
- Section 7 to be completed in all cases (please remember to name the country where the application is signed)
- Section 8 to be completed in all cases
- Section 9 to be completed if applicable
- Section 10 to be completed if applicable
- Section 11 to be completed in all cases
- Section 12 to be completed in all cases
- Copy of personal illustration enclosed
- Bank instruction letter
- Health questionnaire required if PIMS Wealth Insurance is chosen
- Investment adviser appointment form (please refer to section 9)

Additional information/special instructions

Failure to provide all the relevant information and documentation may delay the acceptance of the application and any subsequent investment instructions contained therein.

Please complete in BLOCK CAPITALS and in black ink throughout. Delete as appropriate where you see *

Section 1 Single/Joint applicant details

	Applicant 1	Applicant 2
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Residential address (in full):	<input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Telephone:	<input type="text"/>	<input type="text"/>
Country of residence:	<input type="text"/>	<input type="text"/>
Nationality:	<input type="text"/>	<input type="text"/>
Occupation (if retired please give details of last employment):	<input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/>
Date of birth (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Sex:	Male <input type="checkbox"/> Female <input type="checkbox"/>	Male <input type="checkbox"/> Female <input type="checkbox"/>
Please insert existing policy numbers (if applicable):	<input type="text"/>	<input type="text"/>
Correspondence address (in full) if different from above:	<input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>
Please give reasons why this address is to be used:	<input type="text"/> <input type="text"/> <input type="text"/>	

All applicants must sign the Declaration in section 11 and the following should be submitted to support the application:

- full true certified copy of a current passport or national identity card carrying a photograph of the applicant(s), AND
- documentary evidence of the current residential address of the applicant(s) (for example, original or true certified copy of utility, rates or local authority bill, entry from local telephone directory, extract from electoral roll, current driving licence, state benefit book, tax assessment or a mortgage statement). This evidence must be no older than three months.

Section 2 Trustee(s) applicant details (non-corporate trustee)

I am/We are* the current trustee(s) of the trust created on (dd/mm/yyyy):

By the settlor(s)
(insert name(s)):

and confirm that
(insert name(s)):

Is/Are* the beneficial owner(s) of the assets of the trust fund, and that; (see next page)

Section 2 Trustee(s) applicant details (non-corporate trustee) continued

1. The trust name is:
- The correspondence address to be used is:
- Postcode:
2. The nature and purpose of the trust is:
3. That I/we* have the necessary powers to make the proposed investment
4. That I/we* will advise Royal London 360° in writing immediately of any changes of trustee(s) and I/we* understand that appropriate evidence of identity will be required
5. All trustees must act unanimously.

Trustee(s) signatures

	Trustee 1	Trustee 2
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Residential address (in full) (must be provided):	<input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Signed:	<input type="text"/>	<input type="text"/>
Date (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

	Trustee 3	Trustee 4
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Residential address (in full) (must be provided):	<input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Signed:	<input type="text"/>	<input type="text"/>
Date (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

All trustees must sign the Declaration in section 11 and each of the following should be submitted to support your application:

- full true certified copy of a current passport or national identity card for each trustee carrying a photograph of the individual and proof of address. Evidence of current address must be no older than three months.
- certified true copy of the trust deed and any subsequent deed(s) of appointment/retirement of trustees
- if not detailed on the trust deed, full details of the settlor(s)/protector(s) dates of birth and current address (if the settlor is no longer living the trustees should provide the date of death).
- full details of all current named beneficiaries, including name, date of birth and current address (trustee(s) to provide).

Where the beneficiaries are not individuals, sufficient information should be provided to identify any class, corporate entity, charity or other beneficiary.

Section 3 Corporate trustee(s) applicant details

Name of corporate trustee:

All changes in trustees, directors and authorised signatories will be immediately notified to Royal London 360° in writing and I/we* agree that appropriate evidence of identity will be provided.

Permanent registered office address (in full):

Postcode:

Correspondence address (in full) if different from above:

Postcode:

Directors/authorised signatories

Please enclose certified copy passports for at least two of the listed directors one of whom must be an executive director

	Director 1	Director 2
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Residential address (in full):	<input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Signed:	<input type="text"/>	<input type="text"/>
Date (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

	Director 3	Director 4
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Residential address (in full):	<input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Signed:	<input type="text"/>	<input type="text"/>
Date (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Section 3 Corporate trustee(s) applicant details (continued)

I /We* confirm the following:

That (insert name):

Is/Are* the beneficial owner(s) of the trust company.

Royal London 360° is authorised by the applicant to obtain a reference if required from:

Name of bank:

Address of bank:

Postcode:

Account name:

Account number:

Bank sort code: - -

I am/We are* the current trustee(s) of the trust created on (dd/mm/yyyy):

By the settlor(s)
(Insert name(s)):

The beneficial owner(s) of
the assets of the trust fund
are (Insert name(s)):

The trust name is:

The nature and purpose of
the trust is:

That I/we* have the necessary powers to make the proposed investment

The minimum number of the current directors and authorised signatories of the trust company required to provide instructions (including without prejudice to the generality of the foregoing instructions to change underlying investments, make withdrawals, or totally encash the contract) is (number).

If there are more than four directors/authorised signatories, please provide details on a separate sheet.

The required number of authorised signatories must also sign the Declaration in Section 11 and the following should be provided to support your application:

- full true certified copy of a current passport or national identity card carrying a photograph for at least two directors one of whom should be an executive director and proof of address should be provided. Evidence of current address must be no older than three months.
- certified true copy of the trust deed and any subsequent deed(s) of appointment/retirement of trustees.
- if not detailed on the trust deed, dates of birth and current address of the settlor(s)/protector(s) (if the settlor is no longer living the trustees should provide the date of death).
- full details of all beneficiaries (including contingent beneficiaries, if any) including name, date of birth and current address (trustees to provide). Where the beneficiaries are not named, sufficient information should be provided to identify any class, corporate entity, charity or other beneficiary.
- true certified copy of the corporate trustee's certificate of incorporation.
- evidence of the registered office of the corporate trustee.
- list of directors.
- list of officers from whom Royal London 360° is to take instructions together with their specimen signatures.
- for private limited companies only - verification of the identity of all shareholders holding 20 percent or more of the issued share capital as at the date of the application. If the holder of 20 per cent or more is a holding company, trust or nominee, further information may be required.

Section 4 Corporate applicant details

To be completed if you are a corporate applicant. Corporate trustees should complete section 3.

Are you a: Public registered company Private limited company Unincorporated company

Name of company

I/We* confirm that the beneficial owner(s) of the company's shares is/are* (Beneficial owner being any director/shareholder holding 20% or more of the issued share capital):

<input type="text"/>
<input type="text"/>
<input type="text"/>
<input type="text"/>

Verification of identity, that is, a certified copy passport and address verification for each of the beneficial owners must be submitted with this *Application Form*.

At a meeting of the board of directors held on the Date (dd/mm/yyyy): at (location)

It was agreed and resolved that:

1. The company has the capacity to enter into the contract applied for
2. The minimum number of the current directors and/or authorised signatories of the company required to provide instructions (including without prejudice to the generality of the foregoing instructions to change underlying investments, make withdrawals, or totally encash the contract) is (number)
3. All changes in directors and authorised signatories will be immediately notified to Royal London 360° in writing and I/we* agree that appropriate evidence of identity will be provided.

Royal London 360° is authorised by the applicant to obtain a reference if required from:

Name of bank:

Address of bank:

Postcode:

Account name:

Account number:

Bank sort code: - -

Permanent registered office address (in full):

Postcode:

Correspondence address (in full) if different from above:

Postcode:

Section 4 Corporate applicant details (continued)

Directors/authorised signatories

Please enclose certified copy passports for at least two of the listed directors, one of whom must be an executive director.

	Director 1	Director 2
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Residential address (in full) (must be provided):	<input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Position/Title:	<input type="text"/>	<input type="text"/>
Signed:	<input type="text"/>	<input type="text"/>
Date (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
	Director 3	Director 4
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Residential address (in full) (must be provided):	<input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Position/Title:	<input type="text"/>	<input type="text"/>
Signed:	<input type="text"/>	<input type="text"/>
Date (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

If there are more than four directors/authorised signatories, please provide details on a separate sheet.

The required number of authorised signatories must also sign the Declaration in section 11 and the following should be provided to support your application:

- a full true certified copy of a current passport or national identity card carrying a photograph for at least two directors, one of whom should be an executive director, and proof of address should be provided. Evidence of current address must be no older than three months.
- a list of directors.
- a true certified copy of the certificate of incorporation.
- evidence of the registered office.
- a list of officers from whom Royal London 360° is to take instructions and specimen signatures.
- for private limited companies only - verification of the identity of all shareholders holding 20 percent or more of the issued share capital as at the date of the application. If the holder of 20 per cent or more is a holding company, trust or nominee, further information may be required.
- where possible, a set of the latest annual report and accounts.
- for a public registered company only - a copy of the board resolution providing authority for the authorised signatories to act on behalf of the company.

Section 5 Lives assured

There may be up to six lives assured – including the *individual* applicant(s). If either applicant is a life assured this should be indicated by ticking the appropriate box.

The first applicant is the life assured. The second applicant is the life assured.

Please indicate when the death benefit is to be paid by ticking the appropriate box

The death benefit is to be paid on the death of the first life assured.

The death benefit is to be paid on the death of the last life assured.

	Life Assured 1	Life Assured 2
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Relationship to applicant(s):	<input type="text"/>	<input type="text"/>
Residential address (in full) (must be provided):	<input type="text"/>	<input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Country of residence:	<input type="text"/>	<input type="text"/>
Nationality:	<input type="text"/>	<input type="text"/>
Date of birth (dd/mm/yyyy):	<input type="text"/>	<input type="text"/>
Sex:	Male <input type="checkbox"/> Female <input type="checkbox"/>	Male <input type="checkbox"/> Female <input type="checkbox"/>

	Life Assured 3	Life Assured 4
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Relationship to applicant(s):	<input type="text"/>	<input type="text"/>
Residential address (in full) (must be provided):	<input type="text"/>	<input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Country of residence:	<input type="text"/>	<input type="text"/>
Nationality:	<input type="text"/>	<input type="text"/>
Date of birth (dd/mm/yyyy):	<input type="text"/>	<input type="text"/>
Sex:	Male <input type="checkbox"/> Female <input type="checkbox"/>	Male <input type="checkbox"/> Female <input type="checkbox"/>

	Life Assured 5	Life Assured 6
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Relationship to applicant(s):	<input type="text"/>	<input type="text"/>
Residential address (in full) (must be provided):	<input type="text"/>	<input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Country of residence:	<input type="text"/>	<input type="text"/>
Nationality:	<input type="text"/>	<input type="text"/>
Date of birth (dd/mm/yyyy):	<input type="text"/>	<input type="text"/>
Sex:	Male <input type="checkbox"/> Female <input type="checkbox"/>	Male <input type="checkbox"/> Female <input type="checkbox"/>

Section 6 PIMS Wealth Insurance

Please complete this section ONLY if you are applying for PIMS Wealth Insurance.

Full details of PIMS Wealth Insurance are contained in the *Key Features* and the *Terms and Conditions* of the Personal Investment Management Service. The maximum age at the outset of PIMS Wealth Insurance is 85 years attained.

Please note that each of the lives assured applying for PIMS Wealth Insurance will be required to complete a health questionnaire.

It is possible to protect up to two lives with PIMS Wealth Insurance. These may be any of the lives assured named in section 5.

Please indicate below who are to be the lives protected by PIMS Wealth Insurance.

The first investor is protected by PIMS Wealth Insurance

The second investor is protected by PIMS Wealth Insurance

Others	PIMS life insured 1	PIMS life insured 2
Title:	<input type="text"/>	<input type="text"/>
Surname:	<input type="text"/>	<input type="text"/>
Forenames (in full):	<input type="text"/>	<input type="text"/>
Residential address (in full) (must be provided):	<input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>
Postcode:	<input type="text"/>	<input type="text"/>
Country of residence:	<input type="text"/>	<input type="text"/>
Date of birth (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Sex:	Male <input type="checkbox"/> Female <input type="checkbox"/>	Male <input type="checkbox"/> Female <input type="checkbox"/>

Important information

If there are two lives protected by PIMS Wealth Insurance, where the PIMS contract is on a joint life, first death basis the PIMS Wealth Insurance benefit will be paid on the death of the first person covered by PIMS Wealth Insurance. If the PIMS contract is set up on a joint life, last survivor basis and there are two lives protected by PIMS Wealth Insurance, the benefit will be paid on the death of the second person covered by PIMS Wealth Insurance.

Please note the maximum investment value that can be protected by PIMS Wealth Insurance at outset is GBP 1,000,000 (USD 1,500,000/EUR 1,500,000). Amounts over and above these figures at outset will not be protected by PIMS Wealth Insurance.

Section 7 Investment

Amount of investment (minimum investment is GBP 50,000, USD 80,000 or EUR 80,000). Please leave blank if you are using the share exchange scheme – see below:

Amount and currency

Investment amount: GBP/USD/EUR*

If the investment is being made by telegraphic transfer or banker's draft, the attached bank instruction should be completed and a copy included with this form. The instruction requires a reference which should be obtained from Royal London 360° and then entered below:

Reference for banker's draft/telegraphic transfer:

Please contact Royal London 360° for a reference number.

Source of funds:

Enter the source of the funds to be invested such as legacy, property sale, investments etc. For trust cases, the origin of the assets under the trust should be disclosed here.

Number of policies:

PIMS will automatically be split equally into a number of policies (up to 100) such that there is a minimum of GBP 1,000 in each policy. If a smaller number of policies is required please indicate the required number.

Share exchange scheme

If you are transferring or selling units in a fund to invest in PIMS, you will need to complete the *Transfer Authority Form*.

Please tick this box if you will be using this facility

Where you are using this facility, please tell us what your investment is composed of (tick as appropriate):

Holdings to be transferred Holdings to be sold Cash

Source of wealth questions

In accordance with the requirements stipulated by the Isle of Man Government Insurance and Pensions Authority, all Isle of Man Insurers are required to collect source of wealth information for every applicant. Please note that where the single premium is £225,000 (or currency equivalent) or more, documentary evidence must be attached.

Please provide details of the applicants' earned/unearned income from all sources including any bonuses.

	This year	Last year	Previous year
Earned	<input type="text"/>	<input type="text"/>	<input type="text"/>
Unearned	<input type="text"/>	<input type="text"/>	<input type="text"/>

If you have stated annual unearned income please provide details.

Where the source of funds for this application are from any of the following please provide details:

Sale of investment

Name of investment:

Date of sale (dd/mm/yyyy):

(If necessary please continue on a separate sheet.)

Section 7 Investment continued

Savings

How were savings accumulated?

Please detail the bank/building society where the savings were held:

Sale of property

Address of property:

Date of sale (dd/mm/yyyy):

Total amount:

Maturing investments or policy claim

Date of sale (dd/mm/yyyy):

from which company:

Company sale

Name of company:

Principal activity of the company:

Date of sale (dd/mm/yyyy):

Total amount:

Applicants' share of sale proceeds:

Inheritance

Date received (dd/mm/yyyy):

From:

Total amount:

For all other sources

such as a lottery win, gift, compensation payment etc please provide details:

Are you a public official or senior executive of a publicly owned corporation or are you associated with anyone holding such positions?

Yes No If **yes**, please give details

1. If the investor is a company, please submit details of annual company profits or complete the questionnaire in respect of the ultimate beneficial owner. If this is a trustee investment please complete the questionnaire in respect of the settlor.
2. Royal London 360° reserves the right to request further documentary evidence of source of wealth should it be considered necessary.

Section 8 Choice of funds

Please note:

Select PIMS investors have access to a selected range of funds only. Please contact your financial adviser for details of funds available at specially negotiated discounts.

Flexible PIMS investors have access to the Select range of funds and any other acceptable assets.

Through Flexible PIMS you can invest in any collective investment scheme that can be priced and dealt in (subject to the asset being acceptable to the Company). If you are unsure about a proposed fund's acceptability, please contact the Royal London 360° Dealing team on +44 (0)1624 681 488 or by email via dealing@royallondon360.com.

Note: Select PIMS has a slightly lower charging structure than Flexible PIMS, reflecting the restricted choice of investment.

Please list your choice of funds in the appropriate sections below, remembering to include a minimum of 3% of your total investment allocation in the Cash Account. The minimum investment per fund is GBP 5,000 (or currency equivalent) subject to an overall minimum investment in PIMS of GBP 50,000 (or currency equivalent). Please make sure you mention the SEDOL/ISIN for each fund, otherwise we may not be able to place your investment correctly.

Total investment

Cash Account or %

(a minimum of 3% of the initial PIMS value, reducing to 2% after 5 years, must be retained in the PIMS Cash Account)

The remaining funds available should be allocated across the investment sections below, subject to the above restrictions.

Select PIMS

Fund group	Fund name	Unit type	Onshore/Offshore	SEDOL/ISIN	Amount (GBP, USD or EUR) or %

Flexible PIMS

Fund group	Fund name	Unit type	Onshore/Offshore	SEDOL/ISIN	Amount (GBP, USD or EUR) or %

Unless specified we will purchase accumulation units.

Please ensure that the total amount invested across both sections and the PIMS Cash Accounts equals your total investment allocation.

Total amount invested or %

Credit Policy pertaining to deposits or accounts

For reasons of credit control and risk mitigation Royal London 360° does not normally hold balances or deposits in accounts with institutions, or their subsidiaries, which have a credit rating lower than A1 (Standard & Poors) or P1 (Moody's). If it is decided that a policy is to place such an investment with an institution that does not meet this criteria then the policyholder(s) must sign a declaration specifically instructing the removal of the restriction before Royal London 360° can, or will, action the investment.

Section 8 Choice of funds continued

“Experienced”, “Sophisticated” or “Professional” Funds

Due to regulatory restrictions (external to Royal London 360°), because of the extremely high risk associated with them and the relative lack of regulation or supervision, certain funds are only available to “experienced”, “sophisticated” or “professional” investors who are able to completely understand the implications of investing in such assets and can stand the entire loss of the investment. In order to ensure that potential investors meet the necessary regulatory criteria and fully understand the nature and risks associated with such an investment Royal London 360° will require the Policyholder(s) to sign the funds application form making the appropriate declaration to confirm they qualify and meet the required standards for that fund. This declaration must be obtained prior to the purchase transaction being placed and Royal London 360° reserves the right, entirely at its own discretion, to decline to invest in a particular fund without providing any explanation for its rationale.

Section 9 Appointment of investment adviser

Should you wish to appoint an investment adviser please complete the investment adviser form. If Royal London 360° does not receive this document then instructions will only be accepted from the applicant(s).

Section 10 Regular withdrawals

This section should only be completed if regular withdrawals are required.

Payments may be made to the applicant(s) only.

I/We* wish to withdraw a fixed amount of **GBP/USD/EUR*** each year

or % of the portfolio value each year, payable:

Monthly Quarterly Half yearly Annually

Please note that each transaction must equal a minimum of GBP 250 (or currency equivalent).

First withdrawal:

The first withdrawal must be between the 1st and 28th of the month.

Day Month Year

This is the date that the withdrawal is made and may not be when you receive the payment.

Payment method:

Payee name:

Address:

Postcode:

Country:

If the payment is to be sent direct to your bank please provide the following details.

Name of bank:

Address of bank:

Postcode:

Account number:

Bank sort code: - -

Section 11 Declaration

- I/We* apply for the Personal Investment Management Service on the standard terms and conditions as set out in the Policy *Terms and Conditions*.
- To the best of my/our* knowledge and belief all of the statements I/we* have given are true and complete and, together with the Policy *Terms and Conditions* and Policy schedule, will form the basis of the contract between me/us* and Royal London 360 Insurance Company Limited ("the Company").
- I/We* have read and understood the product literature and the *Key Features* of the Personal Investment Management Service.
- I/We* understand where I/We* have applied for PIMS Wealth Insurance on the Company's standard terms that an additional cost will be levied to pay for this cover and this will be debited from the PIMS Cash Account.
- I/We* understand the charges that will be levied and that a trail commission of will be deducted from my/our* portfolio and paid quarterly to my/our* servicing agent.
- I/We* confirm that I am/we are* aware of the fees payable for the chosen investment(s) to be held in my/our* PIMS portfolio. I/We* understand that the fees exist partly to meet promotion and distribution expenses of the product, including commission paid to a financial adviser and/or* fund adviser.
- I/We* understand and accept that the Company is in no way responsible for my/our* choice of investments within my/our* PIMS portfolio.
- I am/We are* not resident(s) of the USA and I/we* understand that the Company reserves the right to impose special terms or terminate my/our* policy if I/we become a resident or citizen of the USA.
- I/We* have not been, or I am/we are* not in the process of being dissolved, struck off, wound up or terminated. (Applicable to Corporate/Corporate trustee* applicants only.)
- I/We* declare that to the best of my/our* knowledge and belief I am/we are* not subject to any legislation that would make this application unlawful.

Please remember that failure to disclose any material facts (even if they are outside the scope of the questions asked) may result in the contract being ineffective even if we, the insurer, accept the application. Material facts are those that we, as an insurer, would regard as likely to influence the assessment of your application. If you are in any doubt as to whether a fact is material, you should disclose it.

Signed:

<input type="text"/>	<input type="text"/>
----------------------	----------------------

Print name in full:

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Date (dd/mm/yyyy):

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------

Email address(es):

<input type="text"/>	<input type="text"/>
----------------------	----------------------

Signed:

<input type="text"/>	<input type="text"/>
----------------------	----------------------

Print name in full:

<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Date (dd/mm/yyyy):

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Email address(es):

<input type="text"/>	<input type="text"/>
----------------------	----------------------

Please enter the country where this form was completed:

<input type="text"/>

By providing us with your email address you consent to being contacted by email for normal business correspondence and for marketing purposes. If you do not wish to be contacted via email for marketing purposes, please tick this box.

Section 11 Declaration continued

Data Protection Act

By signing this form in the space indicated, you consent to us using the information you provide for the following purposes:

- administration of your policy
- crime prevention and prosecution of offenders
- market research and statistical purposes.

The information you have provided may be shared with other companies both inside and outside of the Royal London Group, financial advisers or agents acting on your behalf. It may be transferred outside the Isle of Man and we may be obliged to disclose it to our regulator and to others as demanded by law.

The Isle of Man's Data Protection Act 2002 entitles you, on payment of a £10 fee, to obtain a copy of the information we hold on you.

Further information

For further information please write to:

Data Protection Officer, Royal London 360°, PO Box 154, Isle of Man IM99 1WS.

Section 12 Financial adviser details

This section must be completed by your financial adviser.

Adviser's name:	<input type="text"/>
Company:	<input type="text"/>
Adviser number:	<input type="text"/>
Name of regulator (if applicable):	<input type="text"/>
Regulatory number:	<input type="text"/>
Address (in full):	<input type="text"/> <input type="text"/>
Postcode:	<input type="text"/>
Country:	<input type="text"/>
Telephone:	<input type="text"/>
Fax:	<input type="text"/>
Email:	<input type="text"/>
Adviser's signature:	<input type="text"/>
Date (dd/mm/yyyy):	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

New Business

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Internet

www.royallondon360.com

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